



TO: Nonprofit Leaders, Professional Advisors, and Academics

FROM: Bob Carlson, President, of the National Association of State Charity Officials (NASCO)
Dennis P. Cuevas, Consumer Protection Project Director and Counsel (NAAG)

DATE: July 29, 2011

RE: NAAG/NASCO Annual Conference: Regulation and Oversight of Nonprofit Organizations

Monday, October 3, 2011
Crowne Plaza Hotel
Silver Spring, Maryland

Hotel Cut-Off Date: September 10, 2011

NAAG and NASCO invite you to attend the annual conference of state and federal regulators, nonprofit leaders, professional advisors and academics on Monday, October 3, 2011 at the Crowne Plaza Hotel in Silver Spring, Maryland, a short ride on the Metro's Red Line from downtown Washington, D. C.

This conference is ideal for those involved in the nonprofit field as staff, leaders, counsel, advisors, and academics; the conference will spotlight areas of concern to federal and state regulators regarding the regulation of fiduciary duties, restricted funds, charitable trusts, charitable solicitation, and registration for charities and professional fundraisers.

Our keynote speaker will be David Spackman, recently retired chief of the Non-Profit Organizations/Public Charities Division of the Massachusetts Attorney General's Office. David has just accepted a position at McDermott Will and Emery, where he will be working with Michael Peregrine in the governance and nonprofit hospital transactional areas.

The agenda offers a mix of presentations by highly qualified, thought-provoking speakers who will address topics keyed to this year's theme: "Nonprofit Regulation in a Time of Change." They include:

Corporate Governance Developments Affecting Nonprofits - an update on the latest developments from Michael Peregrine

Hot Issues in the Sector - co-presented by Alissa Gardenswartz, Colorado Attorney General's Office, and Jack Siegel, Charity Governance Consulting, LLC

Legal Implications of Solicitations through Social Media - with NASCO President Bob Carlson and Jeremy Sher, President of Grassroots Giving Group

A Discussion of Current Issues in International Solicitation with Jennifer Reynoso, Simpson Thacher, and H. Art Taylor, President of BBB Wise Giving Alliance

Break-out Sessions covering board oversight from the regulator's perspective; UPMIFA; a registration workshop for commercial fundraisers; and an auditor's view of the use of audits in an investigation presented by Julie Floch.

The day will end with a session devoted to discussing how to educate social media donors, with Tracy Thorleifson, FTC, and Bennett Weiner, COO of the BBB Wise Giving Alliance.

Plan on networking with expert faculty and regulators and connect with others who are passionate about protecting the important role that nonprofits play in our society by registering to attend. If you can share details about the conference with others or can include information about the event on your web site or in publications, please pass along these important details.

REGISTRATION DETAILS

The registration fee for the conference is \$325 per person (\$350 after September 9, 2011). Please return the attached registration form by September 9, 2011 to Derek Sarshad at NAAG (see contact information below). Your registration fee includes a continental breakfast, program materials, lunch, morning and afternoon refreshments, and a reception at the conclusion of the conference.

CLE CREDITS. NAAG will apply for CLE credits; information will be available at the conference.

PROGRAM MATERIALS. Program materials will be posted at www.nasconet.org and available for download by September 29, 2011

HOTEL INFORMATION

NAAG/NASCO has reserved a block of hotel rooms at the Crowne Plaza Hotel, 8777 Georgia Avenue, Silver Spring, Maryland 20910. The conference room rate is \$149 plus tax. You must make your hotel reservations by calling the hotel toll free at (800) 972-3159. Please be sure to

identify "NAAG/NASCO" to secure the conference room rate. Reservations must be guaranteed by a major credit card. **The deadline for securing a room reservation is September 10, 2011.** After that date, our block will be released to the general public.

TRANSPORTATION INFORMATION

The 45 minutes cab fare from BWI, Reagan National, or Dulles should cost around \$55-60 one-way. You also have the option of reserving a "Super Shuttle" share van from <http://www.supershuttle.com> in advanced, which will cost around \$30 one-way.

Reagan National Airport is approximately 30 minutes by Metro to the Silver Spring Metro Station on the Red Line, three blocks from the Crowne Plaza Hotel. Dulles International Airport and Baltimore Washington International Airport are approximately 45 minutes away by taxi.

PEOPLE TO CONTACT

NASCO	Bob Carlson	Email: bob.carlson@ago.mo.gov Telephone: (314-340-6816)
	Mark A. Pacella	Email: mpacella@attorneygeneral.gov Telephone: (717) 705-2536
NAAG:	For Hotel Issues , Logistics and Program Materials, Audio Visual needs, contact NAAG as follows:	
	Erin McManimon (Hotel Questions)	Email: emcmanimon@naag.org Telephone: (202) 326- 6046
	Derek Sarshad (Program Materials)	Email: dsarshad@naag.org Telephone: (202) 326-6018 Fax: (202) 785-0287

Attachments: Registration Form
Agenda

2011 NAAG/NASCO CONFERENCE AGENDA

Crowne Plaza Hotel – Silver Spring, Maryland

Oct. 2 – 4, 2011

Nonprofit Regulation in a Time of Change

PUBLIC DAY: MONDAY OCTOBER 3, 2011		
Time	Topic	Presenters
7:30 – 8:15	CONTINENTAL BREAKFAST	
8:15 – 8:30	Welcome and Introductions	Bob Carlson, <i>NASCO President, Assistant Attorney General, Missouri Attorney General's Office</i> ; Douglas F. Gansler, <i>Attorney General for the State of Maryland</i>
8:30 – 9:30	Hot Issues in the Sector	Alissa Gardenswartz, <i>Assistant Attorney General, Colorado Attorney General's Office</i> ; Jack Siegel, <i>Attorney, CPA, and Nonprofit Consultant, Charity Governance Consulting LLC</i>
9:30-10:30	Corporate Governance Developments Affecting Nonprofits	Michael W. Peregrine, Esq., <i>McDermott, Will & Emery LLP</i>
10:30 – 10:45	BREAK	
10:45 – 11:45	Legal Implications of Social Media	Bob Carlson, <i>Missouri Attorney General's Office</i> ; Jeremy Sher, <i>President, Grassroots Giving Group, Inc.</i>
11:45 – 1:15	LUNCHEON ADDRESS:	David Spackman, <i>McDermott, Will and Emery, LLP</i>
1:15-2:00	PANEL ON PRIVACY	Moderator: Mark Pacella, <i>Chief Deputy Attorney General, Charitable Trusts and Organizations Section, PA Attorney General's Officer</i> ; Tiffany George, <i>Division of Privacy and Identity Protection, Federal Trade Commission</i> ; Ann Waldo, <i>Partner, Wittie, Letsche & Waldo, LLP</i> ; Damon C. Greer, <i>U.S. Department of Commerce</i> ; Allen Brandt, Esq., <i>Graduate Management Admission Council</i>
2:00 - 3:00	CHAT WITH A REGULATOR	NASCO Members
2:30-3:15	<u>Breakout Sessions</u>	
	1. Current Issues In International Solicitation	Moderator: Karin Goldman, <i>Assistant Attorney General, New York Attorney General's Office</i> ; Jennifer Reynoso, Esq., <i>Simpson Thatcher</i> ; H. Art Taylor, <i>President and CEO, BBB Wise Giving Alliance</i>
	2. Alternative Corporate Structures: L3Cs, Flex Corps and Benefit Corps	Elizabeth Grant, <i>Attorney-in-Charge, Charitable Activities Section, Oregon Department of Justice</i> ; David Spenard, <i>Assistant Attorney General, Kentucky Attorney General's Office</i>

2011 NAAG/NASCO CONFERENCE AGENDA

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Oct. 2 – 4, 2011

Nonprofit Regulation in a Time of Change

PUBLIC DAY: MONDAY OCTOBER 3, 2011		
Time	Topic	Presenters
3:15 -4:00	<u>Breakout Sessions</u>	
	1. Board Oversight from Regulators’ Perspective: Conflicts of Interest, Director Independence; Supervision of Fundraising.	Eric Carriker, <i>Assistant Attorney General, Non-Profit Organizations/Public Charities Division, Massachusetts Attorney General’s Office</i>
	2. Uniform Prudent Management of Institutional Funds Act (UPMIFA)	Terry Knowles, <i>Assistant Director, Charitable Trusts Unit, New Hampshire Attorney General’s Office</i> ; Janne Gallagher, <i>Senior Vice President and General Counsel, Council on Foundations</i>
	3. Registration Workshop - What is a Commercial Fundraiser? When Do I Need to Register?	Chris Cash, <i>Colorado Secretary of State’s Office</i> ; Hugh R. Jones, <i>Supervising Deputy Attorney General, Hawaii Attorney General’s Office</i>
4:00-4:15	BREAK	
4:15 – 5:00	<u>Breakout Sessions:</u>	
	1. Anatomy of an Investigation	Bob Carlson, <i>Missouri Attorney General’s Office</i> ; Belinda Johns, <i>Senior Assistant Attorney General, California Attorney General’s Office</i>
	2. Use of Audits in an Investigation: an Accountant’s View	Julie Floch, <i>Partner, EisnerAmper</i>
5:00-5:30	Educating Social Media Donors: [Recap and Discussion]	Tracy Thorleifson, <i>Attorney, Federal Trade Commission</i> , Bennett Weiner, <i>Chief Operating Officer, BBB Wise Giving Alliance</i>
5:45-6:45	NASCO RECEPTION	CROWNE PLAZA HOTEL, SILVER SPRING

**NATIONAL ASSOCIATION OF ATTORNEYS GENERAL (NAAG)
NATIONAL ASSOCIATION OF STATE CHARITY OFFICIALS (NASCO)
2011 Regulation and Oversight of Nonprofit Organizations Conference**

**Crowne Plaza Hotel
8777 Georgia Avenue
Silver Spring, MD 20910
Phone: (800) 972-3159
October 2-4, 2011**

**REGISTRATION FORM – NONPROFIT LEADERS
Meeting ID # 1110NASCO**

Completed form must be received by NAAG no later than September 9, 2011 please fax form to Derek at (202) 785-0287.

Please use one form per registrant. Complete *all* sections.

Name (as it should appear on badge): _____	Full Mailing Address (include organization name): _____
Title: _____	_____
Phone Number: _____	_____
Fax Number: _____	Billing Address (if different): _____
E-mail: _____	_____
Organization Name and State: _____	_____
_____	_____
Name of Person Completing Form: _____	Phone No.: _____

Early Registration Fee: \$325.00 on or before September 9, 2011. \$350.00 after September 9, 2011
 Check Enclosed
 Will Pay at Meeting (No Credit Cards Accepted)

State Bar registration number(s) State _____ Number _____ (For CLE credit) State _____ Number _____
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Do you have any Dietary Restrictions? _____
For information or to request special services or aids to assist you while attending the conference such as sign-language interpreters, note takers, large print or Braille materials, audio/visual equipment, or logistics, please contact Derek Sarshad at (202) 326-6018 or dsarshad@naag.org by September 9, 2011. NAAG will work with you in making suitable arrangements.

Please make your check payable to "NAAG/NASCO Nonprofit Organizations Conference" and mail to: National Association of Attorneys General, Attention: Derek Sarshad, 2030 M Street, NW, 8th Floor, Washington, DC 20036, or fax to **(202) 785-0287. Return to NAAG by September 9, 2011.**

NAAG OFFICE USE ONLY: System Registration _____ On Site Registration _____